

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: CONROY, JR.)
Application No.:) Group Art Unit: n/a
Filed: October 30, 2003) Examiner: n/a

For: SYSTEM AND METHOD FOR MONITORING PASSENGER OXYGEN
SATURATION LEVELS AND ESTIMATING OXYGEN USAGE REQUIREMENTS

Mail Stop PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

[X] A. PTO/SB/08

[] B. CERTIFICATION
(37 C.F.R. 1.97(c)-before final Office Action or allowance)

[] C. \$180.00 FEE PAYMENT
(37 C.F.R. 1.97(c)-before final Office Action or allowance)

**[] D. \$180.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT**
(37 C.F.R. 1.97(d)-before issue fee payment)

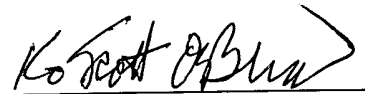
The following are submitted in the above-identified application in compliance with
37 CFR 1.98:

- [] I.** A copy of each listed U.S. Patent and U.S. Patent application publication
[1.98(a)(2)(i)].
- [] II.** A copy of each listed foreign patent and/or published foreign patent
application [1.98(a)(2)]:
 - [] a)** the English language version or an English translation if not
published in English, if available (see PTO-1449)[1.98(a)(3)];
and/or

- ☐ (b) U.S. counterpart patents or English language equivalents of the foreign patents or published foreign patent applications (see PTO-1449); and/or
 - ☐ (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
 - ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
 - ☒ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
 - ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].
 - ☒ VI. Copies of each listed U.S. Patent and U.S. Patent application publication are not being submitted herewith pursuant to a waiver of 37 CFR 1.98(a)(2)(i) by the USPTO on July 11, 2003 for applications filed after June 30, 2003.
- This information is submitted in the above-identified application in compliance with 37 C.F.R. 1.97 because:
- ☒ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
 - ☐ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
 - ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).

- ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 6. This information disclosure statement is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:
- ☐ I, , hereby state the following:
- ☐ **Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.**
- ☐ **No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.**
- ☐ 7. This information disclosure statement is submitted with the fee of \$180 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).
- ☐ 8. Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,



K. Scott O'Brian
Reg. No. 42,946

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	
				Filing Date	
				First Named Inventor	
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	
Sheet	1	of	2	October 30, 2003	
				CONROY, John D., Jr.	
				N/A	
				N/A	
				21411-0001-1	

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	US-3,734,091	05-22-1973	Taplin	
	AB	US- 4,584,996	04-29-1986	Blum	
	AC	US- H1039	04-07-1992	Tripp, Jr. et al.	
	AD	US- 5,315,990	05-31-1994	Mondry	
	AE	US- 5,337,743	08-16-1994	Repperger et al.	
	AF	US- 5,365,922	11-22-1994	Raemer	
	AG	US- 5,372,134	12-13-1994	Richardson	
	AH	US- 5,590,852	01-07-1997	Olson	
	AI	US- 5,682,877	11-04-1997	Mondry	
	AJ	US- 5,809,999	09-22-1998	Lang	
	AK	US- 6,142,149	11-07-2000	Steen	
	AL	US- 2002/0139368 A1	10-03-2002	Bachinski	
	AM	US- 2002/0148470 A1	10-17-2002	Blue et al.	
	AN	US- 6,470,885 B1	10-29-2002	Blue et al.	
	AO	US- 6,452,510 B1	9-17-2002	Zysko	
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[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2	of	2
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Complete if Known

Application Number	N/A
Filing Date	October 30, 2003
First Named Inventor	CONROY, John D., Jr.
Group Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	21411-0001-1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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